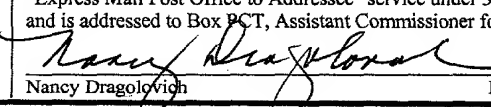


FORM PTO-1390 (REV. 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 87805-9022	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/806968	
INTERNATIONAL APPLICATION NO. PCT/GB99/03356		INTERNATIONAL FILING DATE 11 October 1999 (11.10.99)		PRIORITY DATE CLAIMED 09 October 1998 (09.10.98)	
TITLE OF INVENTION METHOD AND APPARATUS FOR BLOCKING EFFECT REDUCTION					
APPLICANT(S) FOR DO/EO/US Michael James Knee and Jonathan Diggins					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 11 to 20 below concern document(s) or information included:					
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input type="checkbox"/> Other items or information:					
<div style="border: 1px solid black; padding: 5px;"> Express Mail Label No. EL417142967US I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date of my signature and is addressed to Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231. <div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center;">  Nancy Dragolovich </div> <div style="text-align: right;"> 06 April 2001 Date of Deposit </div> </div> </div>					

U.S. APPLICATION NO. 09/806968

INTERNATIONAL APPLICATION NO.
PCT/GB99/03356ATTORNEY'S DOCKET NUMBER
87805-902221. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO. **\$1000.00**International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO **\$860.00**International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$710.00**International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$690.00**International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00****ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$ 860.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS

NUMBER FILED

NUMBER EXTRA

RATE

\$

Total claims

12 - 20 =

0

x \$18.00

\$

0

Independent claims

2 - 3 =

0

x \$80.00

\$

0

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

+ \$270.00

\$

0

TOTAL OF ABOVE CALCULATIONS =

\$ 860.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.

\$

+

SUBTOTAL =

\$

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 860.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property +

\$

40.00

TOTAL FEES ENCLOSED =

\$ 900.00

Amount to be
refunded:

\$

charged:

\$

- a. ☒ A check in the amount of \$ 900.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 13-3080. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Derek C. Stettner
Michael Best & Friedrich LLP
100 East Wisconsin Avenue
Milwaukee, WI 53202

SIGNATURE

Derek C. Stettner

NAME

37,945

REGISTRATION NUMBER

09/806968

JC08 Rec'd PCT/PTO
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

06 APR 2001

In re

International Application of

KNEE, et al.

International Application No.
PCT/GB99/03356

International Filing Date:
11 October 1999

METHOD AND APPARATUS FOR BLOCKING
EFFECT REDUCTION

PRELIMINARY AMENDMENT

BOX PCT
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Please amend the application as follows prior to calculation of the filing fees.

IN THE CLAIMS

Substitute the following claims for the corresponding numbered claims in the application.

11. (Amended) A method according to Claim 1, wherein a measure taken at an upstream location is passed forward for comparison with a measure taken at the device under test.
12. (New) A method according to Claim 5, wherein a measure taken at an upstream location is passed forward for comparison with a measure taken at the device under test.

REMARKS

The claims have been amended to remove multiple dependent claims and to conform to U.S. Patent Office practice. Please enter this amendment before calculating the filing fees.

Respectfully submitted,

[Handwritten signature]

Derek C. Stettner
Reg. No. 37,945

File No. 87805-9022

Michael Best & Friedrich LLP
100 East Wisconsin Avenue
Milwaukee, WI 53202-4108
(414) 271-6560

Parameter	Value	Unit
Initial concentration	1.0	g/L
Initial pH	7.0	
Temperature	25	°C
Time	0-24	h
Adsorbent dose	0.1-1.0	g/L
Batch adsorption	Yes	
Equilibrium time	24	h
Equilibrium concentration	0.5	g/L
Adsorption capacity	0.5	g/g
Desorption efficiency	95	%
Regeneration cycles	5	
Cost of adsorbent	0.1	\$/g
Cost of adsorbate	1.0	\$/g
Overall cost	0.1	\$/g
Environmental impact	Low	
Scalability	Yes	
Reusability	Yes	
Stability	Yes	
Efficiency	95	%
Adsorption rate	0.1	g/g/h
Desorption rate	0.1	g/g/h
Regeneration rate	0.1	g/g/h
Overall rate	0.1	g/g/h
Adsorption mechanism	Physical	
Desorption mechanism	Chemical	
Regeneration mechanism	Physical	
Overall mechanism	Physical	
Adsorption isotherm	Langmuir	
Desorption isotherm	Freundlich	
Regeneration isotherm	Langmuir	
Overall isotherm	Langmuir	
Adsorption kinetics	First order	
Desorption kinetics	Second order	
Regeneration kinetics	First order	
Overall kinetics	First order	
Adsorption thermodynamics	Exothermic	
Desorption thermodynamics	Endothermic	
Regeneration thermodynamics	Exothermic	
Overall thermodynamics	Exothermic	
Adsorption stability	High	
Desorption stability	High	
Regeneration stability	High	
Overall stability	High	
Adsorption efficiency	95	%
Desorption efficiency	95	%
Regeneration efficiency	95	%
Overall efficiency	95	%
Adsorption selectivity	High	
Desorption selectivity	High	
Regeneration selectivity	High	
Overall selectivity	High	
Adsorption capacity	0.5	g/g
Desorption capacity	0.5	g/g
Regeneration capacity	0.5	g/g
Overall capacity	0.5	g/g
Adsorption rate	0.1	g/g/h
Desorption rate	0.1	g/g/h
Regeneration rate	0.1	g/g/h
Overall rate	0.1	g/g/h
Adsorption mechanism	Physical	
Desorption mechanism	Chemical	
Regeneration mechanism	Physical	
Overall mechanism	Physical	
Adsorption isotherm	Langmuir	
Desorption isotherm	Freundlich	
Regeneration isotherm	Langmuir	
Overall isotherm	Langmuir	
Adsorption kinetics	First order	
Desorption kinetics	Second order	
Regeneration kinetics	First order	
Overall kinetics	First order	
Adsorption thermodynamics	Exothermic	
Desorption thermodynamics	Endothermic	
Regeneration thermodynamics	Exothermic	
Overall thermodynamics	Exothermic	
Adsorption stability	High	
Desorption stability	High	
Regeneration stability	High	
Overall stability	High	
Adsorption efficiency	95	%
Desorption efficiency	95	%
Regeneration efficiency	95	%
Overall efficiency	95	%
Adsorption selectivity	High	
Desorption selectivity	High	
Regeneration selectivity	High	
Overall selectivity	High	
Adsorption capacity	0.5	g/g
Desorption capacity	0.5	g/g
Regeneration capacity	0.5	g/g
Overall capacity	0.5	g/g
Adsorption rate	0.1	g/g/h
Desorption rate	0.1	g/g/h
Regeneration rate	0.1	g/g/h
Overall rate	0.1	g/g/h
Adsorption mechanism	Physical	
Desorption mechanism	Chemical	
Regeneration mechanism	Physical	
Overall mechanism	Physical	
Adsorption isotherm	Langmuir	
Desorption isotherm	Freundlich	
Regeneration isotherm	Langmuir	
Overall isotherm	Langmuir	
Adsorption kinetics	First order	
Desorption kinetics	Second order	
Regeneration kinetics	First order	
Overall kinetics	First order	
Adsorption thermodynamics	Exothermic	
Desorption thermodynamics	Endothermic	
Regeneration thermodynamics	Exothermic	
Overall thermodynamics	Exothermic	
Adsorption stability	High	
Desorption stability	High	
Regeneration stability	High	
Overall stability	High	
Adsorption efficiency	95	%
Desorption efficiency	95	%
Regeneration efficiency	95	%
Overall efficiency	95	%
Adsorption selectivity	High	
Desorption selectivity	High	
Regeneration selectivity	High	
Overall selectivity	High	
Adsorption capacity	0.5	g/g
Desorption capacity	0.5	g/g
Regeneration capacity	0.5	g/g
Overall capacity	0.5	g/g
Adsorption rate	0.1	g/g/h
Desorption rate	0.1	g/g/h
Regeneration rate	0.1	g/g/h
Overall rate	0.1	g/g/h
Adsorption mechanism	Physical	
Desorption mechanism	Chemical	
Regeneration mechanism		

Version with markings to show changes made

In the Claims:

11. (Amended) A method according to [any one of the preceding claims] Claim 1, wherein a measure taken at an upstream location is passed forward for comparison with a measure taken at the device under test.

For Office Use Only

METHOD AND APPARATUS FOR BLOCKING EFFECT REDUCTION

This invention relates to the estimation of the subjective quality of a picture that has been decoded from a compressed bit-stream and in certain aspects to techniques for improving that subjective quality.

Generally, both the bitstream itself and the decoded picture will be
5 accessible but the original source will not; hence the term 'single-ended' will be applied. Such a single-ended estimate will clearly not be as reliable as one in which the source picture can be compared to the decoded output, but it can serve as a useful indicator of potential problems in a broadcast chain involving compression when the bitstream is being monitored.

10 The invention relates in the most important example to the MPEG-2 video compression standard, but applies more broadly to transform based compression systems.

A problem to be solved is that of estimating the subjective picture quality of a picture or sequence decoded from an MPEG-2 bitstream. The usual
15 method of performing such an estimate is referred to in this proposal as the "double-ended" method.

The decoded picture is compared with a necessarily delayed version of the source picture. The most common quality measure based on this comparison is the peak signal-to-noise ratio (PSNR) which is based on the
20 ratio of the maximum possible signal power to the power of the difference between source and decoded signals. Other measures are available; some of which attempt to take into account human perception factors.

The disadvantage of all the double-ended methods is that they require access to the picture source. While this is appropriate for testing systems in a
25 laboratory, it cannot normally be used for monitoring the quality of compression in the field.

The object of the present invention is to overcome that disadvantage by providing a series of quality estimation methods based on a "single-ended" approach.

- 2 -

Accordingly, the present invention consists in one aspect in a method of estimating the severity of a picture artefact arising from block based processing, comprising the steps of deriving a pixel difference signal and filtering the signal horizontally and vertically to derive a local measure of
5 artefact severity.

Advantageously, the measure is employed to control the operation of a filter adapted to conceal the visibility of said artefact.

Suitably, the measure is employed to control a fade between the picture signal and the output of said filter.

10 The present invention consists in another aspect in a method for estimating the signal to noise ratio of a picture signal decoded from a compressed bit-stream, comprising the steps of determining the quantization values employed in said compression and deriving said estimate by processing said values.

15 Preferably, a measure taken at an upstream location is passed forward for comparison with a measure taken at the device under test.

Embodiments of this invention make use of the "Information Bus" which is the subject of an earlier patent applications (see EP 0 765 576 and EP 0 913 058) . The Information Bus is a signal containing all the compression
20 coding decisions and parameters extracted from the compressed bitstream, in an easily accessible form. More sophisticated versions of the quality estimation techniques presented here may also make use of the information signal which is also the subject of EP 0 807 356. This is similar to the Information Bus but carries information about other processes that may have
25 taken place upstream of the compression codec under consideration.

This invention will now be described by way of example with reference to the accompanying drawings, in which:

Figure 1 is a block diagram of a first embodiment of the present
30 invention;

- 3 -

Figures 2 and 3 are block diagrams of picture quality measures for use in the arrangement of Figure 1;

5 Figure 4 is a block diagram of a further embodiment of the present invention; and

Figure 5 is a diagram of a filter for use in the arrangement of Figure 4.

The basic architecture of single-ended quality measurement is shown in
10 Figure 1.

The MPEG signal from a remote, upstream encoder 100 is received by a decoder 102 which is adapted as described in the previously mentioned references to provide an Information Bus output, in addition to the decoded video. The picture quality measurement unit 104 therefore has access not
15 only to the decoded video but also to coding decisions which were taken by the encoder 100 and which are of course implicit in the MPEG bit-stream.

The picture quality measurement process in one embodiment operates only from information available at the decoder side of the compression codec; the decoded video signal and the Information Bus containing the coding
20 decisions and parameters. It has no access to the picture source. Because of this, the quality measurement can never be completely reliable because there is no way of telling which degradations in the picture are due to the current coding process and which were on the source. So it is not intended as a full replacement for laboratory measurements based on the double-
25 ended approach. But it is useful for many applications and it is certainly sufficient for those monitoring applications in the field where a simple automatic indication of the "red - amber - green" variety is required. However, there will be described later a further embodiment of the invention which involves a modification by which some account can be taken of the source
30 material.

- 4 -

One of the most frequent complaints about MPEG-2 coded pictures is that they appear "blocky", meaning that the block and macroblock structure of the picture is visible. These blocking artefacts can occur for several reasons:

- 5 • Variation in quantizer scale between macroblocks
- Coarse quantization of DC coefficients in non-intra macroblocks
- Residual visibility of a prediction error resulting from a non-uniform motion vector field

- 10 Instead of attempting to analyse each of those possible causes, the "blockiness" measure provided in this embodiment is based simply on the end result, i.e. the decoded picture. There are various possible measures of blockiness, but the principle behind all of them is to compare pixel differences across block boundaries with pixel differences not across block boundaries.
- 15 In the discussion that follows, care should be taken to recognise the distinction between *macroblock* (16x16 block) boundaries and *DCT block* (8x8 block) boundaries.

The following is an example of a measure of blockiness that works on macroblock boundaries:

- 20 *Horizontal macroblockiness* = the picture-by-picture mean absolute horizontal adjacent luminance pixel difference across macroblock boundaries, expressed as a fractional increase over the mean absolute horizontal adjacent pixel difference not across DCT block boundaries

- 25 An example showing how this measure could be implemented in hardware is given in Figure 2.

- Pixel differences are taken across a pixel delay and the absolute value calculated. The result is fed to two gated accumulators controlled by a
- 30 modulo-16 pixel counter which is reset by a line synchronization pulse. The

- 5 -

upper accumulator sums the pixel differences across macroblock boundaries (when the modulo-16 pixel count = 0) and the lower accumulator sums the pixel differences not across DCT block boundaries (when the modulo-16 pixel count \neq 0 or 8). Event counters count the occurrences of each of these two cases so that the dividers can calculate mean values of the two quantities. Finally, the fractional increase is calculated, giving the blockiness measure. The accumulators and event counters are reset once per picture.

This particular measure has the interesting property that, when applied to frames that were I-frames in the MPEG-2 bitstream, the result is almost exactly proportional to the average quantizer scale value. When applied to P and B-frames, the result is smaller but reflects quite clearly differences in perceived blockiness arising from differences in motion estimation systems.

The following variations in the definition of the blockiness measure are possible and are considered to be part of the invention:

- The DCT block boundary can be used instead of the macroblock boundary. This would require a change the logical outputs of the pixel counter. Note that in both this and the original case the denominator of the fraction is the pixel difference not across DCT block boundaries.

- The difference could be taken vertically rather than horizontally (requiring a line delay instead of a pixel delay), or a combination of the two could be used. We have chosen the horizontal difference because this is much easier to calculate in hardware and because the boundaries are the same whether field or frame picture coding was used. However, they may be circumstances in which the vertical differences are easier to calculate.

- Mean square values, or the mean of some other function of pixel differences, could be used instead of mean absolute differences.

- 6 -

• Some statistical function other than the mean could be used. For example, because it might be considered that very poor blockiness in a small region of the picture might be more disturbing to the eye than an evenly distributed blockiness resulting in the same average value, it might be better to use, for example, the 90th centile of the macroblock boundary pixel difference.

• The blockiness could be expressed as a logarithmic ratio (like a dB measure) rather than a fractional increase. This would affect the final block in Figure 2. It is noted however that a straight difference has been shown experimentally to give good results.

• It may be possible to use a reduced number of pixel differences in the measure.

• The measurement period could be greater or less than one picture period. This would affect the resetting of the accumulators and event counters in Figure 2.

It is desirable to record the blockiness separately for I-frames, P-frames and B-frames. The figures are much lower in P and B-frames because the denominator of the expression contains prediction residues that may have come from macroblock or block boundaries in reference frames. To detect the picture type (I, P or B), the Information Bus could be used. Alternatively, in the absence of the Information Bus, a method of picture type detection such as that described in the contemporaneously filed patent application (claiming priority from GB 9822092.4) could be used. A further possibility is that the variations in the blockiness measure itself could be used as the basis of a method of picture type detection.

- 7 -

The above description assumes that the positions of the macroblock boundaries are known. In some cases, this information may not be available. However, it is possible to obtain this information by calculating the blockiness assuming each of the 16 possible positions in turn (either in full or using a
 5 reduced number of pixels) and choosing the position that yields the maximum value.

It will be useful in certain applications to have a measure of blockiness, not just for a picture, but also for regions of the picture.

An alternative embodiment for deriving a measure of blockiness will now
 10 be described, with reference to Figure 3.

Delay 300 and subtractor 302 serve to generate the pixel difference signal. The absolute value is taken at 304 and the result is filtered at 306. In the case of 8 x 8 blocks, the aperture for this filter might be:

-1 -1 -1 14 -1 -1 -1

15 so as to produce directly the mean absolute difference across macroblock boundaries relative to the mean not across macroblock boundaries. The result is then sub-sampled by 8 in block 308, to remove the meaningless values where the filter is not centred on a macroblock boundary.

The functions of blocks 310 and 312 are, similarly, to construct a
 20 vertical average over the 8 lines of a macroblock and then to discard the values which are not required. The resulting measure can be averaged over an entire picture as at 314 or filtered in local filter 316 (with typically an 8 x 8 moving average) to provide a local measure of blockiness.

It is possible to use the local and global measures in an ingenious
 25 fashion to provide for a filter to remove blockiness, which varies in effect in accordance with the local blockiness.

Reference is directed to Figure 4 which shows a median filter 402, selecting the middle-ranking of three inputs. One input is the uncorrected video input from which has been subtracted at 402 a combined blockiness
 30 measure from combiner 404. This receives both the global and local

- 8 -

blockiness measures and produces a combined measure. The second median filter input is the sum of the combined blockiness measure and the uncorrected signal whilst the third input is the output of a filter constructed to remove those horizontal and vertical spatial frequencies associated with blockiness. This filter might have pass bands as shown in Figure 5.

The operation of the described arrangement is to provide an arithmetic fade between the uncorrected video and the filter output, in dependence on the combined blockiness measure. The blockiness measure is effectively compared with the absolute difference between the uncorrected video and the filter output. If the blockiness measure exceeds this difference, the full filtered output is employed. Where the blockiness measure lies between zero and this difference, an arithmetic fade is provided.

A further single-ended quality measure provides an estimate of the peak-signal-to-noise-ratio (PSNR) of the decoded picture. It has been found surprisingly that by using essentially only the quantizer scale values present in the bit-stream an estimate of PSNR can be produced which corresponds well with actual experimental values.

The approach taken is as follows:

$$PSNR_{estimate} = PSNR_0 - \frac{\sum_{all\ macroblocks} \log q}{N_{macroblocks}} (A + BH)$$

20

where q is the the quantizer level spacing q , which is known from the quantizer scale code and q_scale_type parameters received in the Information Bus and A and B are experimentally derived parameters. The base ratio $PSNR_0$ is taken as an experimental value of signal to noise ratio employing the finest allowable quantisation and a pre-determined quantisation weighting matrix

25

The sum provides an average quantiser scale value for the picture, the average being taken in the logarithmic domain over all the macroblocks in the picture.

- 9 -

H is an estimate of the entropy of the most recent I-picture which is defined here as the number of DCT coefficient bits that would be generated with a quantiser_scale value of 1, the finest possible. The estimate of H is based on the actual number of coefficient bits generated for each macroblock
 5 and the quantiser_scale value used for the macroblock, using a quadratic formula based on a model.

Thus

$$H = \sum_{\text{all macroblocks}} cf(Mq)$$

where c is the number of coefficient bits in the macroblock. The function of
 10 Mq is preferably quadratic.

M is a correction factor which corrects for the use of different weighting matrices in the bitstream. It is defined such that it has the value 1 when the matrices used are the MPEG default intra matrix and the MPFG default (i.e. flat) non-intra matrix.

15 Finally, a correction involving the number of displayed and encoded samples may be added in the form

$$+ 10 \log \frac{N_{\text{coded}}}{N_{\text{displayed}}}$$

This is designed to correct for the subjective effects of working with subsampled pictures and also with letterbox pictures. For example, if a 720 x
 20 576 picture containing a 720 x 332 letterbox is sub-sampled to 480 x 288 for encoding (so that only 166 of the coded lines contain active picture data), the value of N_{coded} is 480 x 166 and the value of $N_{\text{displayed}}$ is 720 x 576. Note that this correction is for subjective effects and should not be applied if a correlation with PSNR calculated over the whole of the coded picture is being
 25 sought.

The approaches described thus far are based on the "single-ended" architecture and as such suffer from the limitation that there is no knowledge of how much of the impairment being measured has come from the coding

- 10 -

process and how much has come from the source. A modification will now be described in which that limitation can be partially overcome.

The technique is to apply some or all of the measures to the source and/or to intermediate points in the signal chain and to transmit the results to

5 the decoder under consideration, using a combination of ancillary data in MPEG bitstreams and the Information Bus, according to the principles of the EP 0 807 356. At intermediate points in the chain, where the picture has been decoded from an MPEG bitstream and there is access to the Information Bus resulting from that decoding process, all the measures

10 described above can be used. At the source, or at places where a full Information Bus is not available, the choice of measures may be more limited. In either case, the results can be compared with the current results and the difference will give an indication of how much of the finally measured degradation was due to the intervening compression process or processes.

15 It should be understood that this invention has been described by way of example only and that a wide variety of modifications are possible without departing from the scope of the invention.

CLAIMS

1. A method of estimating the severity of a picture artefact arising from block based processing, comprising the steps of deriving a pixel difference signal and filtering the signal horizontally and vertically to derive a local measure of artefact severity.
2. A method according to Claim 1, wherein said measure is employed to control the operation of a filter adapted to conceal the visibility of said artefact.
3. A method according to Claim 2, wherein said filter is adapted to remove horizontal and vertical frequencies at the block repetition rates
4. A method according to Claim 2, wherein said measure is employed to control a fade between the picture signal and the output of said filter.
5. A method for estimating the signal to noise ratio of a picture signal decoded from a compressed bit-stream, comprising the steps of determining the quantization values employed in said compression and deriving said estimate by processing said values.
6. A method according to Claim 5, wherein a base ratio is taken as an experimental value of signal to noise ratio employing the finest allowable quantization and a pre-determined quantization weighting matrix.
7. A method according to Claim 5, wherein said processing comprises the steps of forming a function of quantization scale code and modifying said function by a measure of picture activity.

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8. A method according to Claim 5, wherein said function of quantization scale code is a quadratic function.
9. A method according to Claim 5, wherein said function of quantization scale code is modified to take into account deviations from said pre-defined quantisation weighting matrix.
10. A method according to Claim 7, wherein said measure of picture activity utilises the bit rate of the compressed bit-stream.
11. A method according to any one of the preceding claims, wherein a measure taken at an upstream location is passed forward for comparison with a measure taken at the device under test.

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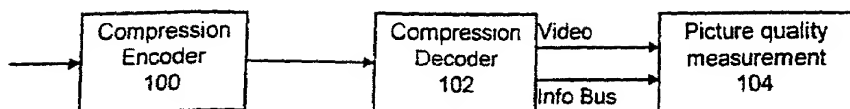


Figure 1

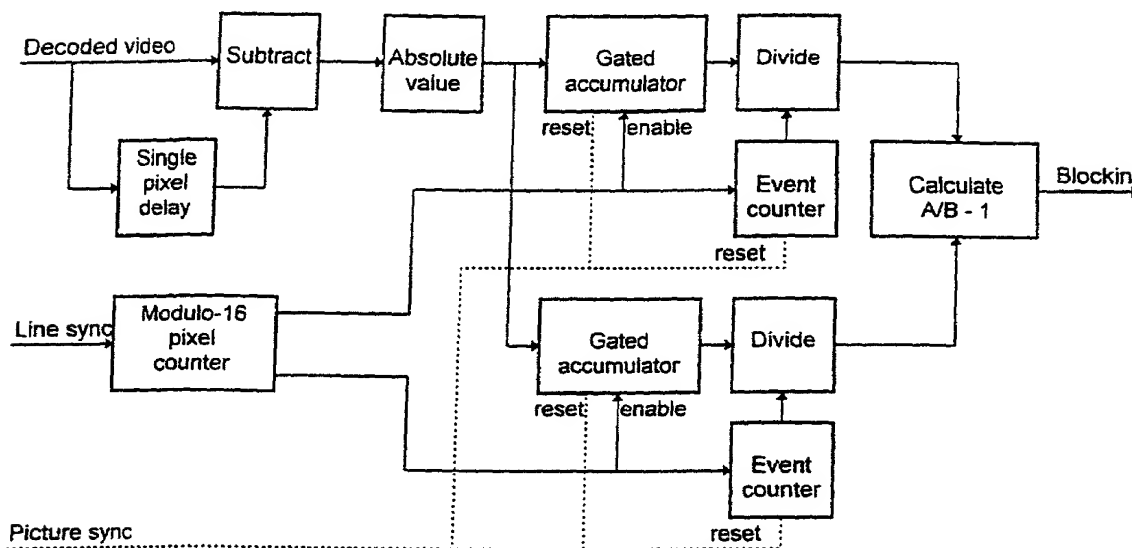


Figure 2

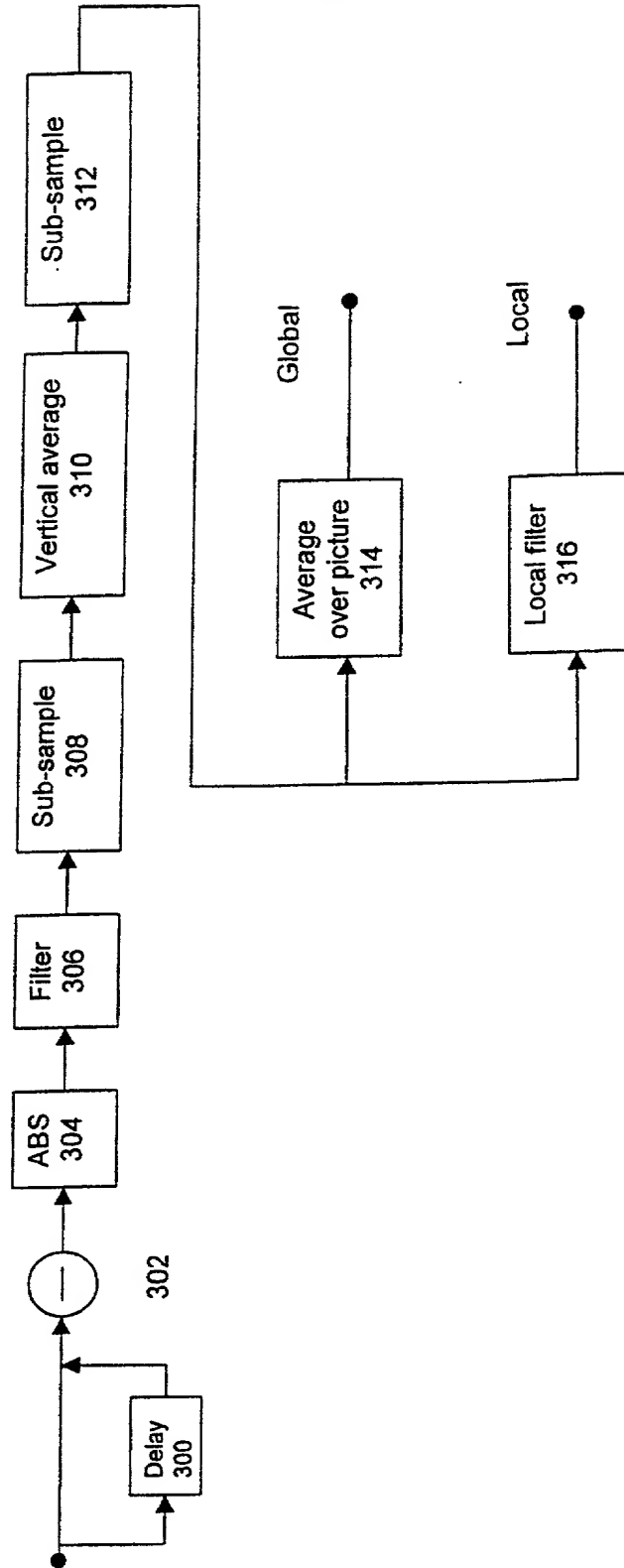


Figure 3

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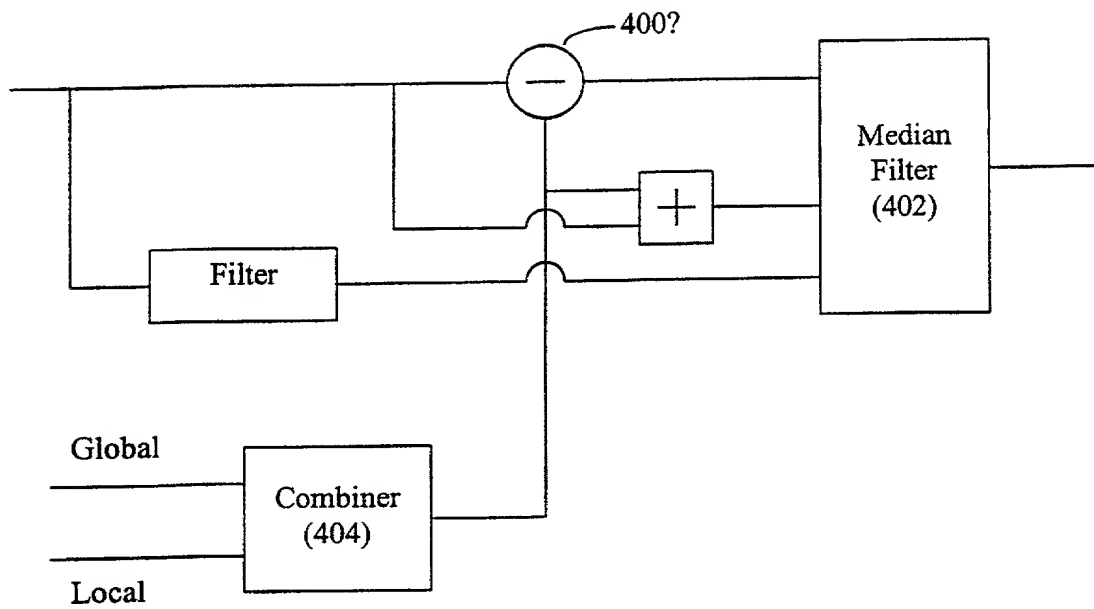


Figure 4

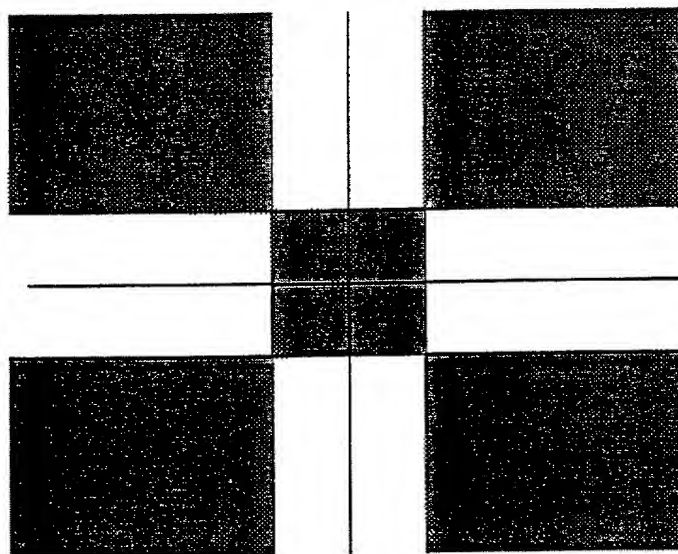


Figure 5

Declaration and Power of Attorney For Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND APPARATUS FOR BLOCKING EFFECT REDUCTION (Attorney Docket No. 87805-9022), the specification of which was filed with my authority, on October 11, 1999 as International Application No. PCT/GB99/03356.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims referred to above.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

And I hereby appoint Derek C. Stettner (Reg. No. 37,945), Christopher B. Austin (Reg. No. 41,592), John C. Bigler (Reg. No. 29,513), David L. De Bruin (Reg. No. 35,489), Gerald L. Fellows (Reg. No. 36,133), Joseph A. Gemignani (Reg. No. 19,482), Gregory J. Hartwig (Reg. No. 46,761), Daniel S. Jones (Reg. No. 42,697), Richard L. Kaiser (Reg. No. 46,158), Timothy M. Kelley (Reg. No. 34,201), Casimir F. Laska (Reg. No. 30,862), Edward R. Lawson Jr. (Reg. No. 41,931), Richard H. Marschall (Reg. No. 39,290), Glenn M. Massina (Reg. No. 40,081), Thomas A. Miller (Reg. No. 36,871), Kevin P. Moran (Reg. No. 37,193), Leon Nigohosian, Jr. (Reg. No. 39,791), Andrew R. Peret (Reg. No. 41,246), David R. Price (Reg. No. 31,557), Thomas S. Reynolds II (Reg. No. 45,262), Raye L. Shaffer (Reg. No. P-47,933), David B. Smith (Reg. No. 27,595), Billie Jean Strandt (Reg. No. 36,940), Donald W. Walk (Reg. No. 29,118), Sheldon L. Wolfe (Reg. No. 43,996), Paul F. Donovan (Reg. No. 39,962), Jill A. Fahrlander (Reg. No. 42,518), Grady J. Frenchick (Reg. No. 29,018), Karen B. King (Reg. No. 41,898), Teresa J. Welch (Reg. No. 33,049), Robert S. Beiser (Reg. No. 28,687), Witold A. Ziarno (Reg. No. 39,888), and each or any of them, my attorneys or agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

ADDRESS ALL COMMUNICATIONS IN OR PERTAINING TO THIS APPLICATION TO:

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I hereby claim foreign priority benefits under Title 35, United States Code, §119 of the foreign application for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

9822094.0	Prior Foreign Application	9 October 1998
(Number)	GB	(Day/Month/Year Filed)
	(Country)	

The undersigned to this Declaration and Power of Attorney hereby authorize the U.S. attorneys named herein to accept and follow instructions from Mathys & Squire, 100 Gray's Inn Road, London WC1X 8AL United Kingdom as to any actions to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the undersigned will so notify the U.S. attorneys.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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